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PTO/SB/05 (11-00)

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## UTILITY PATENT APPLICATION TRANSMITTAL

PUSA210516 Attorney Docket No. First Inventor Tung-Liang Li DIGITAL RECORDING MICROPHONE Title Express Mail Label No. EL 855968626 US

(Only for new nonprovisional applications under 37 C F R 1.53(b)) Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: Box Patent Application Washington, DC 20231 See MPEP chapter 600 concerning utility patent application contents. CD-ROM or CD-R in duplicate, large table or Fee Transmittal Form (e.g., PTO/SB/17) 1. 🔯 Computer Program (Appendix) (Submit an original and a duplicate for fee processing) 8. Nucleotide and/or Amino Acid Sequence Submission Applicant claims small entity status. 2. 🛛 (if applicable, all necessary) See 37 CFR 1.27. a. Computer Readable Form (CRF) 3. 🛛 Specification [Total Pages (preferred arrangement set forth below) b. Specification Sequence Listing on: Descriptive title of the Invention i. ☐ CD-ROM or CD-R (2 copies); or - Cross References to Related Applications ii. 🗌 paper - Statement Regarding Fed sponsored R & D c. Statements verifying identity of above copies - Reference to sequence listing, a table, ACCOMPANYING APPLICATIONS PARTS or a computer program listing appendix - Background of the Invention Assignment Papers (cover sheet & document(s)) 9. - Brief Summary of the Invention 37 C.F.R.§3.73(b) Statement Power of - Brief Description of the Drawings ( if filed) 10.  $\square$  Detailed Description (when there is an assignee) - Claim(s)
- Abstract of the Disclosure English Translation Document (if applicable) 11. 🔲 Copies of IDS 12. 🔲 Information Disclosure [Total Sheets 2 4. 🖂 Drawing(s) (35 U.S.C.113) Statement (IDS)/PTO-1449 [Total Pages | 2 5. Oath or Declaration 13. 🔲 Preliminary Amendment a Newly executed (original or copy) Return Receipt Postcard (MPEP 503) 14. 🛛 b. Copy from a prior application (37 CFR 1.63 (d)) (Should be specifically itemized) (for a continuation/divisional with Box 18 completed) Certified Copy of Priority Document(s) 15. 🔲 (if foreign priority is claimed) i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) 16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). or its equivalent. 6. Application Data Sheet. See 37 CFR 1.76 17. 🛛 Other: Check in the amount of \$395.00 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: ☐ Continuation-in-part (CIP) of prior application No. \_\_\_ ☐ Continuation □ Divisional Group / Art Unit: Prior application information: Examiner For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ADDRESS Correspondence address below or 🛛 ☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) Alan D. Kamrath Name Rider, Bennett, Egan & Arundel, LLP 333 South Seventh Street, Suite 2000 Address 55402 Minnesota Zip Code City Minneapolis State (612) 340-8925 (612) 340-7900 Telephone Country USA Registration No. (Attorney/Agent) 28.227 Name (Print/Type) Alan D. Kamrath June 8, 2001 Signature anu

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FEE TRANSMITTAL for FY 2001		Complete if Known						
		Application Number		_				
		Filing Date	June 8, 2001					
Patent fees are subject to annual revision.		First Named Inventor	Tung-Liang Li					
		Examiner Name						
		Group / Art Unit						
TOTAL AMOUNT OF PAYMENT (\$	) 395	Attorney Docket No.	PUSA210516	/				

TOTAL AMOUNT OF PAYMENT (\$) 395	Attorr	iey Docke	et No.	PUS	A210516	
METHOD OF PAYMENT (check one)	Т			FEE C	ALCULATION (continued)	
1.   The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:	3. AD	DITIONAL Large Entity	FEES	Small Entity	ALOUE PARTON (OUTMINGES)	
Deposit Account 50-1188	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Number	105	130	205	65	Surcharge - late filing fee or oath	
Deposit	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	[]
Account RIDER BENNETT, ET AL	139	130	139	130	Non-English specification	
Name	147	2,520	147	2,520	For filing a request for reexamination	
☑ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1 17	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
	1113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
Payment Enclosed	115	110	215	55	Extension for reply within first month	
☑ Check ☐ Credit card ☐ Money ☐ Other Order	116	390	216	195	Extension for reply within second month	
FEE CALCULATION	117	890	217	445	Extension for reply within third month	
1 BASIC FILING FEE	118	1,390	218	695	Extension for reply within fourth month	
Large Entity Small Entity	128	1,890	228	945	Extension for reply within fifth month	
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	119	310	219	155	Notice of Appeal	
Code         (\$)         Code         (\$)         Fee Paid           101         710         201         355         Utility filing fee         355	120	310	220	155	Filing a brief in support of an appeal	
106 320 206 160 Design filing fee	121	270	221	135	Request for oral hearing	
107 490 207 245 Plant filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding	
108 710 208 355 Reissue filing fee	140	110	240	55	Petition to revive – unavoidable	
114 150 214 75 Provisional filling fee	141	1,240	241	620	Petition to revive – unintentional	
SUBTOTAL (1) (\$) 355	142	1,240	242	620	Utility issue fee (or reissue)	
(4) (1) (4) (500 )	143	440	243	220	Design issue fee	
2. EXTRA CLAIM FEES	144	600	244	300	Plant issue fee	
Extra Fee from Fee	122	130	122	130	Petitions to the Commissioner	
Total Claims 2 -20** = Claims below Paid  0 X = 0	123	130	123	130	Petitions related to provisional applications	
Independent Claims 2 -3** = 0 X = 0	126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent  X = 0	581	<b>4</b> 0	581	40	Recording each patent assignment per property (times number of properties)	40
Large Entity Small Entity Fee Fee Fee Fee Fee Fee Description	146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
103 18 203 9 Claims in excess of 20	149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
102 80 202 40 Independent claims in excess of 3 104 270 204 135 Multiple dependent claim, if not paid	179	710	279	355	Reguest for Continued Examination (RCE)	
109 80 209 40 ** Reissue independent claims over	169	900	169	900	Request for expedited examination	
original patent  "Reissue claims in excess of 20 and over original patent					of a design application	
SUBTOTAL (2) (\$) 0	Other	ee (specif	y)	-		
**or number previously paid, if greater, For Reissues, see above	*Redu	iced by Ba	sic Filin	g Fee P	aid SUBTOTAL (3) (\$) 40	
The state of the s						

SUBMITTED BY Complete (if applicable)							
Name (Print/Type)	Alan Kamrath	Registration/No Attorney/Agent)	28,227	Telephone	(612) 340-8925		
Signature	Ma	Hamuett		Date	June 8, 2001		

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